

IN THE UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF MISSOURI  
EASTERN DIVISION

BUSEY BANK,

Plaintiff,

v.

BENJA INCORPORATED, et al.,

Defendants.

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)  
) Case No. 4:20-cv-01473-HEA  
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**DEFENDANT ANDREW J. CHAPIN'S  
THIRD MEMORANDUM REGARDING STATUS OF CRIMINAL CASE**

COMES NOW Defendant Andrew J. Chapin (“**Chapin**”), by and through undersigned counsel, and in compliance with this honorable Court’s Opinion, Memorandum and Order [Doc. No. 23], submits this third memorandum regarding the status of Chapin’s pending criminal case, stating as follows:

*U.S. v. Chapin*—originally known as Case No. 3:20-mj-71712-MAG, but known as Case No. 3:21-CR-00217-MMC since May 27, 2021—is still an open case pending in the United States District Court for the Northern District of California, but a plea was entered on June 16, 2021, and sentencing has been scheduled for October 6, 2021.

And the case filed by the Securities and Exchange Commission against Chapin known as *SEC v. Benja Inc., et al.* is also still pending as Case No. 4:20-cv-08238-JSW in the United States District Court for the Northern District of California.

Dated: June 24, 2021

Respectfully submitted,

MASON LAW FIRM LLC

By: /s/ Ryan J. Mason

Ryan J. Mason #56167(MO)  
13421 Manchester Road, Suite 105  
St. Louis, Missouri 63131  
(314) 686-4044  
rmason@masonlawstl.com

SLATER LAW GROUP, APC  
Mark K. Slater  
(admitted pro hac vice)  
33 New Montgomery St., Suite 1210  
San Francisco, California 94105  
(415) 294-7700  
mslater@slaterlawgrp.com

ATTORNEYS FOR DEFENDANT  
ANDREW J. CHAPIN

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the above and foregoing pleading was electronically filed using the CM/CEF system on June 24, 2021, and service shall be made by the CM/ECF system on counsel of record, including the following:

Michael A. Campbell  
Nicholas A. Griebel  
POLSINELLI PC  
100 South Fourth Street, Suite 1000  
St. Louis, Missouri 63102  
mcampbell@polsinelli.com  
ngriebel@polsinelli.com

ATTORNEYS FOR PLAINTIFF  
BUSEY BANK

Jerry L. Switzer, Jr.  
POLSINELLI PC  
150 North Riverside Plaza, Suite 3000  
Chicago, Illinois 60606  
jswitzer@polsinelli.com

ATTORNEYS FOR PLAINTIFF  
BUSEY BANK

/s/ Ryan J. Mason